

NOTICE OF SPECIFIED PENALTY

Date of Issue: December 9, 2016

Payment Due Date: January 9, 2017

MSA File Number:	2016-391	Specified Penalty Amount:	\$ 750
Market Participant Name:	AltaGas Ltd.		
Asset ID (if applicable):	ALP1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.3	Date of Contravention:	October 26, 2016
Date of Referral/Self Report:	November 23, 2016	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On October 24, 2016 at approximately 14:53, ALP1 restated its available capability (AC) for the remainder of October 24, 2016 through the end of October 25, 2016 with the reason "Mechanical failure". While the AC of ALP1 remained reduced into and during October 26, 2016, AC was not restated down until 18:58 on that day. ISO rule section 203.3 indicates that if there is a change in AC of the source asset, the pool participant must restate AC as soon as reasonably practicable.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO energy offer, available capability, and acceptable operational reason data for ALP1 for October 26, 2016.
2. Self-report from AltaGas Ltd. to the MSA dated November 23, 2016.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	December 9, 2016
Name:	Doug Doll	Title:	Director Corporate Services and Compliance